

United States Senate
Financial Disclosures

Annual Report for Calendar 2022

Rev. Raphael Warnock (Warnock, Raphael)

 **Filed 08/14/2023 @ 9:44 PM**

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who re- ceived payment?	Comments
1	02/25/2022	Speech	\$2,000.00	Episcopal Parish Network Durham, NC	A Charity	n/a
2	03/05/2022	Speech	\$1,500.00	Alcorn State Atlanta, GA	A Charity	n/a
3	03/27/2022	Speech	\$1,000.00	Fellowship Covenant Church Bronx, NY	A Charity	n/a
4	04/24/2022	Speech	\$1,000.00	Friendship Baptist Church Atlanta, GA	A Charity	n/a
5	07/10/2022	Speech	\$3,000.00	The Tabernacle Oak Bluffs, MA	A Charity	Requesting \$1,000 refund from Morehouse College to The Tabernacle
6	08/28/2022	Speech	\$2,000.00	Friendship-West Baptist Church Dallas, TX	A Charity	n/a
7	10/09/2022	Speech	\$650.00	Greater Beallwood Baptist Church Columbus, GA	A Charity	n/a

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Other (salary and deferred compensation)	Ebenezer Baptist Church Atlanta, GA	\$154,895.00	\$125,000 is deferred compensation for ser- vices before January 20, 2021.
2	Self	Royalties	New York University New York, NY	\$1,218.90	n/a
3	Self	Royalties	Penguin Random House New York, NY	\$655,005.57	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	HealthEquity Corporate (Draper, UT) <i>Type: Savings,</i>	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2	Wells Fargo (Sioux Falls, SD) <i>Type: Checking, Money Market Account, Savings,</i>	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3	Merrill Lynch - CMA Account	Brokerage/Managed Account	Self			
3.1	Bank of America, N.A. (Atlanta, GA) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.2	ETHIX - Eaton Vance High Income Opportunites Fund Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3.3	PGEOX - George Putnam Balanced Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.4	OIGAX - Invesco Oppenheimer International Growth Fund CI	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3.5	IWM - iShares Russell 2000 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3.6	LGRRX - Loomis Sayles Funds - Growth Fund Class A [Trust	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
3.7	MEIAX - MFS Series Trust I - MFS Value Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3.8	PPSAX - Principal Funds Inc. - Spectrum Preferred and C <i>Filer comment: Share class exchange, formerly - Principal Spectrum Preferred and Capital Securities (PRFCX)</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000

Asset	Asset Type	Owner	Value	Income Type	Income
3.9 <u>POGAX</u> - Putnam Growth Opportunities Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3.10 <u>PEQUX</u> - Putnam Global Equity Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4 MMBB - A Financial Services Ministry <i>Filer comment:</i> Transferred to MMBB defined contribution benefit plans	Retirement Plans Defined Benefit Pension Plan	Self	None (or less than \$1,001)	None,	None (or less than \$201)
5 The Gamaliel Project LLC <i>Company:</i> The Gamaliel Project LLC (Atlanta, GA) <i>Description:</i> Holding Company	Business Entity Limited Liability Company (LLC)	Self			
5.1 ML Bank Deposit Program (Atlanta, GA) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
6 MMBB - American Baptist Church Retirement Plan	Retirement Plans Defined Contribution Pension Plan	Self			
6.1 Balanced Fund	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	None (or less than \$201)
6.2 <u>VTTHX</u> - Vanguard Target Retirement 2035 Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7 MMBB - American Baptist Churches Tax-Deferred Annuity Plan	Retirement Plans Defined Contribution Pension Plan	Self			
7.1 Balanced Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)

Asset	Asset Type	Owner	Value	Income Type	Income
7.2 <u>VTTHX</u> - Vanguard Target Retirement 2035 Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	<u>LGRRX</u>	Loomis Sayles Funds - Growth Fund Class A [Trust	Purchase	12/27/2022	\$1,001 - \$15,000	n/a
2	Self	--	Balanced Fund	Purchase	10/03/2022	\$500,001 - \$1,000,000	Underlying asset of MIMBB - American Baptist Churches Retirement Plan
3	Self	<u>VTTHX</u>	Vanguard Target Retirement 2035 Fund	Purchase	10/03/2022	\$15,001 - \$50,000	Underlying asset of MIMBB - American Baptist Churches Retirement Plan
4	Self	--	Balanced Fund	Purchase	10/03/2022	\$50,001 - \$100,000	Underlying asset of MIMBB - American Baptist Churches Tax-Deferred Annuity Plan
5	Self	<u>VTTHX</u>	Vanguard Target Retirement 2035 Fund	Purchase	10/03/2022	\$15,001 - \$50,000	Underlying asset of MIMBB - American Baptist Churches Tax-Deferred Annuity Plan

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **Yes**

#	Date(s)	Traveler(s))	Travel Type	Itinerary	Reimbursed For	Who Paid	Comments
1	06/21/2022 to 06/21/2022	Self	Outside activity	Atlanta to New York to Washington	Air travel	Penguin Random House New York, NY	This travel was part of Senator Warnock's book tour for "A Way Out of No Way"
2	06/10/2022 to 06/11/2022	Self	Outside activity	Denver to Atlanta	Air travel, lodging	Penguin Random House New York, NY	This travel was part of Senator Warnock's book tour for "A Way Out of No Way"
3	06/15/2022 to 06/15/2022	Self	Outside activity	Washington, DC	Ground transportation	Penguin Random House New York, NY	This travel was part of Senator Warnock's book tour for "A Way Out of No Way"
4	07/07/2022 to 07/07/2022	Self	Outside activity	Martha's Vineyard	Ground transportation	Penguin Random House New York, NY	This travel was part of Senator Warnock's book tour for "A Way Out of No Way"
5	06/16/2022 to 06/16/2022	Self	Outside activity	Washington, DC	Ground transportation	Penguin Random House New York, NY	This travel was part of Senator Warnock's book tour for "A Way Out of No Way"
6	06/13/2022 to 06/14/2022	Self	Outside activity	Atlanta to New York to Washington	Air travel, lodging, ground transportation	Penguin Random House New York, NY	This travel was part of Senator Warnock's book tour for "A Way Out of No Way"

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Self	Mortgage	0	7.99% (30 years)	\$50,001 - \$100,000	Bank OZK Ozark, AR	Variable interest rate
2	2013	Self	Mortgage	0	4.625% (30 years)	\$250,001 - \$500,000	Truist Bank f/k/a SunTrust Atlanta, GA	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Oct 2005 to present	Other (Senior Pastor)	Ebenezer Baptist Church Atlanta, GA	Other (Religious Institution)	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Jul 2007	New York University New York, NY	Royalty Agreement	Advance and royalties based on customary and usual terms for book, "The Divided Mind of the Black Church: Theology, Piety, and Public Witness."	n/a
2	Sep 2021	Penguin Random House New York, NY	Royalty Agreement	Book agreement based on customary and usual terms for book, "A Way Out of No Way."	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.
No comments added.